



Meeting: **AUDIT & GOVERNANCE COMMITTEE**
Date: **WEDNESDAY, 29 SEPTEMBER 2021**
Time: **5.00 PM**
Venue: **COUNCIL CHAMBER - CIVIC CENTRE, DONCASTER ROAD, SELBY, YO8 9FT**
To: **Councillors K Arthur (Chair), N Reader (Vice-Chair), M Jordan, A Lee, K Franks, J Duggan, D Mackay and C Richardson**

Agenda

1. Apologies for Absence

2. Disclosures of Interest

A copy of the Register of Interest for each Selby District Councillor is available for inspection at www.selby.gov.uk.

Councillors should declare to the meeting any disclosable pecuniary interest in any item of business on this agenda which is not already entered in their Register of Interests.

Councillors should leave the meeting and take no part in the consideration, discussion or vote on any matter in which they have a disclosable pecuniary interest.

Councillors should also declare any other interests. Having made the declaration, provided the other interest is not a disclosable pecuniary interest, the Councillor may stay in the meeting, speak and vote on that item of business.

If in doubt, Councillors are advised to seek advice from the Monitoring Officer.

3. Minutes (Pages 1 - 6)

To confirm as a correct record the minutes of the Audit and Governance Committee held on 27 July 2021

4. Chair's Address to the Audit and Governance Committee

5. Audit Action Log (Pages 7 - 8)

To review the Audit Action Log.

6. Audit and Governance Work Programme (Pages 9 - 12)

To note the current Work Programme and consider any amendments.

7. External Audit Completion Report 2020-21 (A/21/6) (Pages 13 - 50)

To receive the report of the external auditor, which asks the Committee to consider the work of the external auditor during the financial year ending 31 March 2021.

8. Internal Audit, Counter Fraud and Information Governance Progress Report (A/21/7) (Pages 51 - 76)

To receive the report from the Audit Manager (Veritau), the Counter Fraud Manager (Veritau), and the Information Governance Manager (Veritau), which asks the Committee to note the update on progress made in delivering the internal audit, counter fraud and information governance work for 2021-22.

9. Statement of Accounts 2020-21 (A/21/8) (Pages 77 - 218)

To receive the report, which asks the Committee to approve the Statement of Accounts for the financial year 2020-21.

10. Corporate Complaints & Compliments Annual Report, April 2019 - March 2020 & April 2020 - March 2021 and Local Authority Ombudsman Annual Review Letter 2021 (A/21/9) (Pages 219 - 226)

To receive the report, which asks the Committee to provide comments and note the Corporate Complaints Annual Report and the Local Authority Ombudsman Review Letter 2021.

11. Corporate Policy: Regulation of Investigatory Powers Act 2000, Version: 2021 (A/21/10) (Pages 227 - 260)

Members are asked to note the revised draft Regulation of Investigatory Powers Act (RIPA) Policy that is intended to take effect from 1 October 2021.

12. Corporate Policy & Guidance: Surveillance Overview Document and the Overt Surveillance Policy: Version: 2021 (A/21/11) (Pages 261 - 280)

To receive the report from the Solicitor to the Council, which asks the Committee to note the Overt Surveillance Policy.

13. Private Session

That, in accordance with Section 100(A) (4) of the Local Government Act 1972, in view of the nature of the business to be transacted, the meeting

be not open to the Press and public during discussion of the following items as there will be disclosure of exempt information as defined in paragraph 3 of Schedule 12(A) of the Act.

14. Consideration of Internal Audit Reports (A/21/12) (Pages 281 - 296)

To consider the Internal Audit report as outlined.

Janet Waggott

Janet Waggott, Chief Executive

<p>Date of next meeting (5.00pm) Wednesday, 26 January 2022</p>
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Enquiries relating to this agenda, please contact Dawn Drury on 01757 292065
ddrury@selby.gov.uk.

Recording at Council Meetings

Recording is allowed at Council, Committee and Sub-Committee meetings which are open to the public, subject to:- (i) the recording being conducted with the full knowledge of the Chairman of the meeting; and (ii) compliance with the Council's protocol on audio/visual recording and photography at meetings, a copy of which is available on request. Anyone wishing to record must contact the Democratic Services Officer on the above details prior to the start of the meeting. Any recording must be conducted openly and not in secret.